There are two Audit Logs available for a user: **Participant Audit Log** and **Rescore Audit Log**.

**Participant Audit Log** - will contain audit entries of when participant snapshot is created and when participant snapshot is modified. A record of demographics field values before and after the edits will be created (old and new demographic data).

Participant audit log will be sorted by PIN, DateCreated and DateModified with latest (rescored) record on top and "before-rescore" record under it.

\* Participant audit log data columns can be found at the end of this document.

**Rescore Audit Log** – will include changes related to rescore (old and new updated scores). This audit log will include all instruments affected by scoring adjustment functionality and demographic re-score functionality.

Composites scores (After record) will be included in this audit log. Audit log will be sorted by first, PIN and next, Instrument name. All records with exception to Composites are to be displayed in pairs: latest record on top and "before-rescore" record under it.

\*\* Rescore audit log data columns can be found at the end of this document.

### Note:

The "Audit Logs" section can be found on Help & Support screen. Both audit log files ("Participant Audit Log.csv" and "Rescore Audit Log.csv") have option to Email, upload to iCloud, and upload to iTunes. Email and upload to iCloud options are disabled by default. User will be able to enable those options via Data Security section of Settings screen.

## Participant data elements are the following:

- Identifier (PIN) required, free text.
- Name optional, free text.
- Gender optional, valid values are presented in a popover list.
- Birthdate optional, valid values for month, day, and year must be selected from popover lists.
- Age optional, value will either be calculated from birthdate, or a value entered by the user.
- Highest Education Level Completed optional, valid values are presented in a popover list
- Mother's Education- optional, valid values are presented in a popover list.
- Father's Education optional, valid values are presented in a popover list.
  - This field only appears if Unkn is selected for Mother's Education.
- Legal Guardian's Education.
  - This field only appears if Unkn is selected for Father's Education.
- Handedness optional, valid values are presented in a popover list.
- Race optional, valid values are presented in a popover list.
- Ethnicity optional, valid values are presented in a popover list.

# Participant Audit Log

# **Use Case-01:** User **creates** a new participant.

- 1. User enters data (see above) for a new participant on a 'New Participant' screen.
- 2. User taps either <Done> button or Back arrow.
  - a. Participant Audit log record of participant info with all fields is created. This is participant info BEFORE record.

4	A	В	C	D	E	F	G	Н	- 1	J	K	L	M	N	0	Р	Q	R
																Date		
			Assessment						MothersE	FathersEd	GuardiansE	Hande			StartingLevel	Participant/Asses	Date Participant	Арр
1	Device ID	PIN	Name	Name	Gender	Birthdate	Age	Education	ducation	ucation	ducation	dness	Race	Ethnicity	Override	sement Created	Modified	Version
																		1.17.1614

## How to read file:

- a. If data was entered as option "not provided" then in the log it will be shown as '-1'.
- b. Column (C) 'Assessment Name' indicates that no Assessments have been created yet.
- \*When Birthdate provided, the Age is shown in the Log only if Assessment was started.

## **Use Case-02:** User **modifies** a participant info with no assessments.

- 1. User modifies any of the data elements (Ex: Gender (see Pict-1 below), Education, Mothers Education, Race (see Pict-2 below)) for the participant on Participant Info screen.
- 2. User taps either <Done> button, back arrow or <Add New Assessment> button.
  - a. Participant Audit log record of participant info with all fields is created as AFTER record. This record goes on top of participant's BEFORE record.
  - b. Relevant field(s) gets updated.

## Pict-1

4	A	В	С	D	Е	F	G	Н	1	J	K	L	М	N	0	Р	Q	R
																Date		
																Participant/Ass	Date	
			Assessment						MothersEd	FathersEd	Guardians	Handedn			StartingLev	essement	Participant	Арр
1	Device ID	PIN	Name	Name	Gender	Birthdate	Age	Education	ucation	ucation	Education	ess	Race	Ethnicity	elOverride	Created	Modified	Version
2	alphanumeric	1New	No Assessme	111	1	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/31/2018 9:28	1.17.1630
3	alphanumeric	1New	No Assessme	111	2	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/30/2018 12:33	1.17.1614

### Pict-2

4	4	Α	В	С	D	Е	F	G	Н	1	J	K	L	М	N	0	Р	Q	R
																	Date		
																	Participant/Ass	Date	
				Assessment						MothersEd	FathersEd	Guardians	Handedn			StartingLev	essement	Participant	Арр
1	Device	e ID	PIN	Name	Name	Gender	Birthdate	Age	Education	ucation	ucation	Education	ess	Race	Ethnicity	elOverride	Created	Modified	Version
2	alpha	numeric	1New	No Assessments	111	1	10/9/2002		12	18			1	4	-1		5/30/2018 12:32	5/31/2018 9:43	1.17.1630
3	alpha	numeric	1New	No Assessments	111	1	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/31/2018 9:28	1.17.1630
4	alpha	numeric	1New	No Assessments	111	2	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/30/2018 12:33	1.17.1614

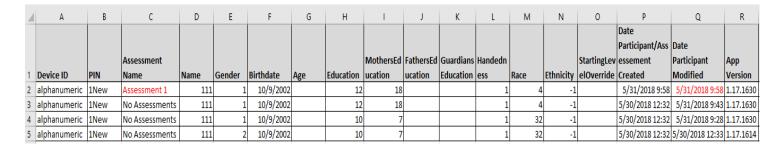
## How to read file:

- a. Column 'E' on Pict-1 shows a new value of Gender.
- b. Columns 'H', 'I', 'M' on Pict-2 show a new values of Education, MothersEducation and Race.
- c. Column 'Q' is showing the date and time the change has been done.

Use Case-03: User creates a new Assessment for this participant.

- 1. User taps on <Add New Assessment> button, enters an Assessment name (optional) and selects instruments for participant.
- 2. User taps <Done> button.
  - a. Participant Audit log record of participant info with all fields is created. This is a 'participant info as of...' BEFORE record.

**Note:** To find the 'participant info as of...' screen, expend the assessment and tap on <View> button.



#### How to read file:

- a. Column (C) 'Assessment Name' indicates that new Assessments has been created.
- b. Column (G) is still empty because the Assessment has not been started yet, hence the Age is not calculated.

**Use Case-04:** User <u>modifies</u> a participant info with completed Assessment.

- 1. User renames the 'Assessment 1' to 'Assmnt-Name'.
- 2. User starts and completes the assessment 'Assmnt-Name'.
- 3. User modifies any of the data elements for the participant (Ex: Ethnicity) on Participant Info screen.
- 4. User taps either <Done> button, Back arrow or <Add New Assessment>.
  - a. Audit log record of participant info with all fields is created as AFTER record.
  - b. Audit log record of 'participant info as of...' with all fields is created as AFTER record.
  - c. Field(s) gets updated.

**Note:** If there is more than one assessment then the Audit log record for the 'participant info as of...' will be created for each existing assessment.

1	Α	В	С	D	E	F	G	Н	1	J	K	L	М	N	0	Р	Q	R
																Date		
																Participant/Ass	Date	
			Assessment						MothersEd	FathersEd	Guardians	Handedn			StartingLev	essement	Participant	Арр
1	Device ID	PIN	Name	Name	Gender	Birthdate	Age	Education	ucation	ucation	Education	ess	Race	Ethnicity	elOverride	Created	Modified	Version
2	alphanumeric	1New	Assmnt-Name	111	1	10/9/2002	15	12	18			1	4	2		5/31/2018 9:58	5/31/2018 10:26	1.17.1630
3	alphanumeric	1New	Assessment 1	111	1	10/9/2002		12	18			1	4	-1		5/31/2018 9:58	5/31/2018 9:58	1.17.1630
4	alphanumeric	1New	All Assessments	111	1	10/9/2002		12	18			1	4	2		5/30/2018 12:32	5/31/2018 10:26	1.17.1630
5	alphanumeric	1New	No Assessments	111	1	10/9/2002		12	18			1	4	-1		5/30/2018 12:32	5/31/2018 9:43	1.17.1630
6	alphanumeric	1New	No Assessments	111	1	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/31/2018 9:28	1.17.1630
7	alphanumeric	1New	No Assessments	111	2	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/30/2018 12:33	1.17.1614

### How to read file:

- a. Rows #2 and #4 indicate the fact that new value of Ethnicity has been applied to all assessments (as if to completed, not started, and future).
- b. Row #2 also shows that Assessment 1 (default name) has been renamed to 'Assmnt-Name', and then completed that is why the Age was calculated.

# Use Case-05: User modifies participant info for specific assessment.

- 1. User modifies an Education (Ex., Mother's Education, Father's Education) on the 'participant info as of...' screen. Expand the assessment and tap on <View> button, then tap <Edit> button.
- 2. User taps <Done> button.
  - a. Audit log record of 'participant info as of' with all fields is created as an AFTER record.
  - b. Field(s) gets updated.

**Note:** This change will apply to current assessment only.

1	А	В	С	D	Е	F	G	Н	- [	J	K	L	М	N	0	P	Q	R
																Date		
																Participant/Ass	Date	
			Assessment						MothersEd	FathersEd	Guardians	Handedn			StartingLev	essement	Participant	Арр
1	Device ID	PIN	Name	Name	Gender	Birthdate	Age	Education	ucation	ucation	Education	ess	Race	Ethnicity	elOverride	Created	Modified	Version
2	alphanumeric	1New	Assmnt-Name	111	1	10/9/2002	15	12	999	22		1	4	2		5/31/2018 9:58	5/31/2018 11:28	1.17.1630
3	alphanumeric	1New	Assmnt-Name	111	1	10/9/2002	15	12	18			1	4	2		5/31/2018 9:58	5/31/2018 10:26	1.17.1630
4	alphanumeric	1New	Assessment 1	111	1	10/9/2002		12	18			1	4	-1		5/31/2018 9:58	5/31/2018 9:58	1.17.1630
5	alphanumeric	1New	All Assessments	111	1	10/9/2002		12	18			1	4	2		5/30/2018 12:32	5/31/2018 10:26	1.17.1630
6	alphanumeric	1New	No Assessments	111	1	10/9/2002		12	18			1	4	-1		5/30/2018 12:32	5/31/2018 9:43	1.17.1630
7	alphanumeric	1New	No Assessments	111	1	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/31/2018 9:28	1.17.1630
8	alphanumeric	1New	No Assessments	111	2	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/30/2018 12:33	1.17.1614

## How to read file:

- a. New Education values are shown in columns 'I' and 'J'. Education code '999' = unkn.
- b. The fact that there is no other record of Education update except the row #2 (look for All Assessments in column 'Assessment Name') tells us that this change has been applied to specific assessment only. Future or not started assessments are not affected.

**Use Case-06:** User <u>modifies</u> participant info with completed Assessment again.

- 1. User modifies any of the data elements for the participant (Ex: Name) on Participant Info screen.
- 2. User taps either <DONE> button, Back arrow or <Add New Assessment>.
  - a. Audit log record of participant info with all fields is created as AFTER record.
  - b. Audit log record of 'participant info as of...' with all fields is created as AFTER record.
  - c. Field(s) gets updated.

**Note:** This change will apply to all assessments (completed, not started, and future).

4	В	С	D	Е	F	G	Н	1	J	K	L	М	N	0	Р	Q	R
															Date		
															Participant/Ass	Date	
		Assessment						MothersEd	FathersEd	Guardians	Handedn			StartingLev	essement	Participant	App
1	PIN	Name	Name	Gender	Birthdate	Age	Education	ucation	ucation	Education	ess	Race	Ethnicity	elOverride	Created	Modified	Version 5
2	1New	Assmnt-Name	John Smith	1	10/9/2002	15	12	999	22		1	4	2		5/31/2018 9:58	5/31/2018 2:13	1.17.1630
3	1New	Assmnt-Name	111	1	10/9/2002	15	12	999	22		1	4	2		5/31/2018 9:58	5/31/2018 11:28	1.17.1630
4	1New	Assmnt-Name	111	1	10/9/2002	15	12	18			1	4	2		5/31/2018 9:58	5/31/2018 10:26	1.17.1630
5	1New	Assessment 1	111	1	10/9/2002		12	18			1	4	-1		5/31/2018 9:58	5/31/2018 9:58	1.17.1630
6	1New	All Assessments	John Smith	1	10/9/2002		12	18			1	4	2		5/30/2018 12:32	5/31/2018 2:13	1.17.1630
7	1New	All Assessments	111	1	10/9/2002		12	18			1	4	2		5/30/2018 12:32	5/31/2018 10:26	1.17.1630
8	1New	No Assessments	111	1	10/9/2002		12	18			1	4	-1		5/30/2018 12:32	5/31/2018 9:43	1.17.1630
9	1New	No Assessments	111	1	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/31/2018 9:28	1.17.1630
10	1New	No Assessments	111	2	10/9/2002		10	7			1	32	-1		5/30/2018 12:32	5/30/2018 12:33	1.17.1614

### How to read file:

a. Row #2 and #6 indicate that new value of Name has been applied to all assessments (as if to completed, not started, and future).

## Rescore Audit Log

**Use Case-07:** User <u>edits</u> participant info with completed Assessment to trigger the rescore.

- 1. User modifies any of participant's data elements (Ex: Birthdate/Age) on Participant Info screen.
  - a. Rescore Audit log record of score data before and after the edits will be created.
  - b. The latest record is on top and "before-rescore" record under it.

**Note:** This change will trigger rescore of all assessment level instruments as needed.

1	Α	В	С	D	E	F	G	Н	- 1	J	K	L	M	N	0	P	Q	R	S
											Uncorrec	Age-	National						
											ted	Corrected	Percentile	Fully-					
			Assessment							Compute	Standard	Standard	(age	Correcte		Record			Арр
1	PIN	Age	Name	Inst	Date Finished	RawScore	Theta	TScore	SE	d Score	Score	Score	adjusted)	d T-score	Rescore Date	Туре	Comments	Device ID	Version
2	1New	18	Assmnt-Name	NIH Toolbox Anger FF Ages 8-17 v2.0	5/31/2018 10:19	13	0.413	55	4						5/31/2018 2:44	1		alphanum	1.17.1630
3	1New	15	Assmnt-Name	NIH Toolbox Anger FF Ages 8-17 v2.0	5/31/2018 10:19	13	0.413	55	4					57		1		alphanum	1.17.1630

#### How to read file:

- a. Row #3 is the 'before' record, which shows scores before recalculation.
- b. Row #2 is the 'after' record, which shows new/recalculated score data.

**Use Case-08**: User <u>modifies</u> participant info for specific assessment to trigger the rescore.

- 1. User modifies an Education on the 'participant info as of...' screen. Expend the assessment and tap on <View> button, then tap <Edit> button.
- 2. User taps <Done> button.
  - a. Rescore Audit log record of score data before and after the edits will be created.
  - b. The latest record is on top and "before-rescore" record is under it.

1	Α	В	С	D	E	F	G	Н	-1	J	K	L	М	N	0	P	Q	R	S
											Uncorrec	Age-	National						
											ted	Corrected	Percentile	Fully-					
			Assessment							Compute	Standard	Standard	(age	Correcte		Record			Арр
1	PIN	Age	Name	Inst	Date Finished	RawScore	Theta	TScore	SE	d Score	Score	Score	adjusted)	d T-score	Rescore Date	Туре	Comments	Device ID	Version
2	1New	18	Assmnt-Name	NIH Toolbox Anger FF Ages 8-17 v2.0	5/31/2018 10:19	13	0.413	55	4						5/31/2018 2:44	1		alphanum	1.17.1630
3	1New	15	Assmnt-Name	NIH Toolbox Anger FF Ages 8-17 v2.0	5/31/2018 10:19	13	0.413	55	4					57		1		alphanum	1.17.1630
4	1New	18	Assmnt-Name2	NIH Toolbox Picture Vocabulary Test	5/31/2018 15:19		1.811		0.489		91	87	19	40	5/31/2018 3:20	1		alphanum	1.17.1630
5	1New	18	Assmnt-Name2	NIH Toolbox Picture Vocabulary Test	5/31/2018 15:19		1.811		0.489		91	87	19	46		1		alphanum	1.17.1630

#### How to read file:

- a. Row #5 is the 'before' record, which shows demographic and score data before rescore.
- b. Row #4 is the 'after' record, which shows new/recalculated score data.

**Use Case-09:** User <u>upgrades</u> App version from any pre v1.8 to higher.

- 1. Once App gets upgraded (Ex: v1.7 to v1.17) it will automatically trigger score adjustment if user has data/scores affected or changes applied in recent releases to the output formulas/ formats/ columns.
  - a. Rescore Audit log record of rescored data will be created.
  - b. The latest record is on top and "before-rescore" record is under it.

1	А	В	С	D	E	F	G	Н	1	J	K	L	М	N	0	Р	Q	R	S
												Age-							
												Correcte	National						
			Assessm									d	Percentil	Fully-					
			ent							Compute	Uncorrected	Standard	e (age	Correcte		Record	Comme		App
1	PIN	Age	Name	Inst	Date Finished	RawScore	Theta	TScore	SE	d Score	Standard Score	Score	adjusted)	d T-score	Rescore Date	Туре	nts	Device ID	Version
2	PIN.01	18	Cog	NIH Toolbox Pattern Comparison Processing Speed Test Age 7+ v2.1	5/25/2018 9:09	57				77	128	117	87	65	5/25/2018 9:16	1		alphanume	1.17.1614
3	PIN.01	18	Cog	NIH Toolbox Pattern Comparison Processing Speed Test Age 7+ v2.0	5/25/2018 9:09	57					102	84		37		1		alphanume	1.17.1614

#### How to read file:

- a. Row #3 is the 'before' record, which shows score data collected in v1.7
- b. Row #2 is the 'after' record, which shows new/recalculated(adjusted) score data.

#### Note:

Score Adjustment process triggered by upgrade doesn't generate Participant Audit log record. It only creates Rescore Audit log records.

# \* Participant audit log will contain following data fields:

- 1. Device ID
- 2. Identifier (PIN)
- 3. Assessment (This field will be blank when assessment is not attached to participant)
- 4. Name
- 5. Gender
- 6. Birthdate
- 7. Age
- 8. Education
- 9. Mother's Education
- 10. Father's Education
- 11. Guardian's Education
- 12. Handedness
- 13. Race
- 14. Ethnicity
- 15. StartingLevelOverride
- 16. Date Participant/snapshot Created
- 17. Date Modified
- 18. App Version

# \*\* Rescore audit log will have following data columns:

- 1. Identifier (PIN)
- 2. Age
- 3. Assessment
- 4. Instrument
- 5. Date Finished
- 6. Raw score
- 7. Theta
- 8. TScore
- 9. SE
- 10. Computed Score
- 11. Uncorrected Standard Score
- 12. Age-corrected Standard Score
- 13. National Percentile (age adjusted)
- 14. Fully-corrected T-score

## Following List of fields (15 - 43) are only available if instrument with that score is part of the audit log:

- 15. Dominant Score
- 16. Non-Dominant Score
- 17. Static Visual Acuity logMAR
- 18. Static Visual Acuity Snellen
- 19. DVA Right Difference LOGMAR
- 20. DVA Left Difference LOGMAR
- 21. Standing Balance Ratio Position2/Position1
- 22. Standing Balance Ratio Position4/Position3
- 23. Raw Score Right Ear
- 24. Raw Score Left Ear
- 25. Threshold Right Ear
- 26. Threshold Left Ear
- 27. Whole Mouth Quinine
- 28. Whole Mouth Salt
- 29. Uncorrected Standard Scores Dominant
- 30. Age-Corrected Standard Scores Dominant
- 31. National Percentile Age Adjusted (Dominant)
- 32. Fully Corrected T-Scores Dominant
- 33. Uncorrected Standard Scores Non-Dominant
- 34. Age-Corrected Standard Score Non-Dominant
- 35. National Percentile Age Adjusted (Non-Dominant)
- 36. Fully Corrected T-Scores Non-Dominant
- 37. Uncorrected Standard Scores Quinine Whole
- 38. Uncorrected Standard Scores Salt Whole
- 39. Age-Corrected Standard Scores Quinine Whole
- 40. National Percentile (age adjusted) Whole Mouth Quinine
- 41. Age-Corrected Standard Scores Salt Whole
- 42. National Percentile (age adjusted) Whole Mouth Salt
- 43. Fully-Corrected T-Scores Quinine Whole
- 44. Fully-Corrected T-Scores Salt Whole
- 45. Rescore Date
- 46. Record Type (This is set to 1)
- 47. Comments
- 48. Device ID
- 49. App version